

2021 SEC Filing Calendar

Based on calendar year-ends

January

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

February

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28						

March

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

April

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

May

S	M	T	W	T	F	S
					1	
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

June

S	M	T	W	T	F	S
	1	2	3	4	5	
6	7	8	9	10	11	12
13	14	15	16	17	18	19
20	21	22	23	24	25	26
27	28	29	30			

July

S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

August

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

September

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

October

S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

November

S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

December

S	M	T	W	T	F	S
				1	2	3
4	5	6	7	8	9	10
11	12	13	14	15	16	17
18	19	20	21	22	23	24
25	26	27	28	29	30	31

● Large Accelerated
 ● Accelerated
 ● Non-Accelerated
 ● SEC Closed

Workiva simplifies the complex work of internal and external reporting, including data wrangling, editing, XBRL[®] tagging, iXBRL[™] formatting, and SEC filing. Trust your numbers with linked data, version control, and a comprehensive audit trail.

10-K Deadlines

Large Accelerated Filers	Accelerated Filers	Non-Accelerated Filers
60 days after year-end	75 days after year-end	90 days after year-end
With NT 10-K, 15-day extension	With NT 10-K, 15-day extension	With NT 10-K, 15-day extension

10-Q Deadlines

Large Accelerated Filers	Accelerated Filers	Non-Accelerated Filers
40 days after quarter-end	40 days after quarter-end	45 days after quarter-end
With NT 10-Q, 5-day extension	With NT 10-Q, 5-day extension	With NT 10-Q, 5-day extension

Holidays The SEC and EDGAR system will not receive, process, or accept filings on the following government holidays.

Friday, January 1	New Year's Day	Monday, October 11	Columbus Day
Monday, January 18	Birthday of Martin Luther King, Jr.	Thursday, November 11	Veterans Day
Monday, February 15	Washington's Birthday	Thursday, November 25	Thanksgiving Day
Monday, May 31	Memorial Day	Friday, December 24	Christmas Day (observed)
Monday, July 5	Independence Day (observed)	Friday, December 31	New Year's Day (observed)
Monday, September 6	Labor Day		

Form/Filing

Form 3	10 days after becoming an officer, director, or beneficial owner	N-MFP	5 business days, monthly
Form 4	2 days after a change in ownership	N-CSR	Printed and mailed 60 days after each half year-end, EDGAR filing due 10 days after mailing date
Form 5	45 days after fiscal year-end	NQ	60 days after Q1 and Q3 ends
11-K	90 days after fiscal year-end, or 180 days if subject to ERISA	Form 24F-2	90 days after fiscal year-end
8-K	4 days after occurrence of triggering event	N-PX	August 31 after fiscal year-end
20-F	4 months after fiscal year-end	485APOS/485BPOS	Ongoing, XBRL required
40-F	Same date the annual report is due to be filed in Canada	497/497J/497K	Ongoing, XBRL required
DEF-14A (proxy statement)	120 days after fiscal year-end	N-1A	Ongoing, based on company registrations
Form NT	24 hours after missed deadline	N-2	Ongoing, based on company registration
Form SD	May 31 after fiscal year-end	N-4/N-6	May 1
Schedule 13-G	45 days after calendar year-end	POS-EX	Ongoing, based on company registrations
13-F	45 days after calendar quarter-end	N-PORT	60 days after the end of each quarter
13-D	10 days after acquisition of 5% or more of a voting class	N-CEN	75 days after the close of the fiscal year (75 days after the calendar year for unit investment trusts)

Hours of EDGAR Operations: The hours of operation for submitting filings to the EDGAR system are 6:00 a.m. to 10:00 p.m. Eastern Time weekdays (excluding SEC holidays). If a filing is submitted after 5:30 p.m. Eastern Time, it will not be deemed filed with the SEC until the following business day (except for filings made pursuant to Rule 462(b) and Section 16 filings, which will receive the date of the actual filing).

The information contained herein is proprietary to Workiva and cannot be copied, published, or distributed without express prior written consent. Copyright 2020 Workiva Inc. Workiva is a registered trademark of Workiva Inc. All rights reserved.

XBRL® and iXBRL™ are trademarks of XBRL International, Inc. All rights reserved. The XBRL™ standards are open and freely licensed by way of the XBRL International License Agreement.

workiva.com | info@workiva.com

