The Benefits of Control Self-Assessments
Questions
Today’s Presenters

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History of Control Self-Assessments

- Originated in 1987 to assess the effectiveness of risk management and control processes
- What is a control self-assessment (CSA)?
  - A technique that allows managers and work teams directly involved in business units, functions, or processes to participate in assessing the organization's risk management and control processes
Benefits of Control Self-Assessments

• Clear line of accountability for controls
• Reduces the risk of fraud
• Results in an overall, lower risk profile for the organization
Performing Control Self-Assessments

• Document an organization’s control processes
• Identify ways to measure or test controls
• Two main formats for performing CSAs
  • Workshops
  • Surveys/questionnaires
Demo
Questions?
Contact Us

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